

ALABAMA SECURITIES COMMISSION

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Telephone: (334) 242-2984 or 1-800-222-1253 Fax: (334) 242-0240

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**ALABAMA SECURITIES COMMISSION (ASC) ISSUES ADVISORY ON
CONTRACTS FOR DIFFERENCE**

Montgomery, Alabama (August 5, 2019) — The Alabama Securities Commission today issued an investor awareness advisory (see attached) providing information to help investors better understand “Contracts for Difference”, and the risks associated with this alternative to traditional financial instruments used to speculate on financial markets.

Although these types of investments generally are available only to very high net worth individuals or institutional investors, state and provincial securities regulators are concerned that they may be marketed to retail investors by scammers looking to make a quick profit.

The advisory also discusses the red flags to watch for when considering a contract for difference and the steps investors can take to protect themselves.

The full advisory is available on the agency’s website here www.asc.alabama.gov.

Before making any financial decisions, ask questions, do your homework and call the ASC at 1-800-222-1253 to check out the registration and background of the person making the offer and registration of the product offered.

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For further information contact Dan Lord, Education and Public Affairs Manager, 334-353-4858.

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